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E. Willis  
3-7-02

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant(s):** Jack A. Mandelman, et al.

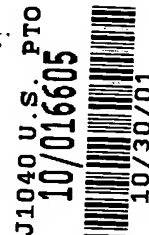
**Docket:** FIS920010258US1(14899)

**Serial No.:** Unassigned

**Dated:** October 4, 2001

**Filed:** Herewith

**For:** VERTICAL DRAM PUNCHTHROUGH STOP  
SELF-ALIGNED TO STORAGE TRENCH



Assistant Commissioner for Patents  
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, applicants submit the following references which applicants believe may be material to the above-identified patent application. A copy of the references which applicants wish to make of record in this case is enclosed herein for the Examiner's convenience along with a listing on Form PTO-1449 attached.

1. U.S. Patent No. 6,025,224, issued February 15, 2000 to Gall, et al.; and
2. U.S. Patent No. 6,051,468, issued April 18, 2000 to Hshieh.

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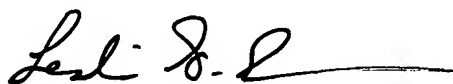
Dated: 10/30/01



All the references listed on Form PTO-1449 are in the English language, thus, a concise explanation of those references required by 37 C.F.R. §1.98(a)(3) is not necessary.

This Information Disclosure Statement is being submitted with the filing of this application; thus no fee or certification under 37 C.F.R. §1.97(e) is required.

Respectfully submitted,



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